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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application No.      | : | (To Be Assigned)<br>PCT/EP2004/012688            | Confirmation No. | :       | (TBA) |
|----------------------|---|--|------------------|---------|-------|
| First Named Inventor | : | Guenter DOBLER                                   |                  |         |       |
| Filed                | : | May 26, 2006                                     |                  |         |       |
| TC/A.U.              | : | (To Be Assigned)                                 |                  |         |       |
| Examiner             | : | (To Be Assigned)                                 |                  |         |       |
| Docket No.           | : | 095309.57715US                                   |                  |         |       |
| Customer No.         | : | 23911  |                  |         |       |
| Title                | : | User Interface and Cor<br>Vehicle and Associated | · ·              | for a I | Motor |

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

### I. <u>Time Period of Submission</u>

This Information Disclosure Statement is submitted:

| 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is ater) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required. |
|---|
| 2) after the later of three months from the application's filing late and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (Exparte Quayle), (whichever is earlier), and therefore Applicant is filing concurrently herewith:                         |
| a Statement under 37 C.F.R. § 1.97(e); or   |
| a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).  |
| 3) after either a Final Office Action or a Notice of Allowance, but   |

a Statement under 37 C.F.R. § 1.97(e); and

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a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

| II. Statement Under 37 C.F.R. § 1.97(e)   |
|---|
| I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or  |
| I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or |
| III. Statement under 37 C.F.R. § 1.704(d)   |
| I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.   |
| IV. Submission of Non-English Language Documents  |
| ☐ The following is a concise explanation of relevance of the non-<br>English language documents listed in the attached Form PTO-1449:   |
| The relevance of document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application.   |
| Corresponding foreign or international report(s) citing document(s) <u>AE</u> , together with an English-language version(s) (if not already in   |

English language abstract(s) is/are submitted for document(s)

family

member

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of

English) of that portion of the report(s) indicating the degree of relevance found

language

\_ is/are noted on Form PTO-1449.

by the foreign office(s) is/are submitted.

document(s)\_

<u>AD</u>.

English

10/580861 !AP9 Rec'd PCT/PTO 26 MAY 2006!

Attorney Docket No. 095309.57715US

|  |                                       |   |  |  |                                |  | PATENT  |
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|  | is/                                   | are sul   | English tra<br>bmitted herev   |  | f the fo                       | oreign langua                                      | ge document(s)  |
|  |                                       |   | Applicant<br>  | submits                                      | the                            | following  | explanations:   |
| V.                                     | Cont                                  | inuatio   | ons/Divisional   | <u>s</u>                                     |                                |  |   |
|  |                                       | , filed   | l  | , from whic                                  | ch this a                      | application cla                                    | ation Serial No.<br>ims benefit. As                                       |
| since                                  | they v                                | vere pr   |  | nitted to or o                               | ited by                        | the United St                                      | being provided<br>ates Patent and   |
| appli<br>appr                          | any su<br>cation<br>opriate           | ich doo<br>. Appli<br>e to ant                  | cument consti<br>icant does not                                      | tutes prior a<br>waive any r<br>rwise remove | ort againg ight to the any lis | nst the claims<br>take any action<br>sted document | as an admission<br>s of the present<br>on that would be<br>as a competent |
| -                                      | sit Acc                               | count (   |  |  |                                |  | zation to charge<br>b, for the fee set                                    |
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| May                                    | 26, 200                               | 06  |  | Gary   | R. Edw                         | <i>y klevdl</i><br>ards<br>No. 31,824              | Reliand   |
| Intel<br>P.O.<br>Wasl<br>Telep<br>Facs | lectual<br>Box 14<br>hingtor<br>phone | Prope<br>1300<br>n, DC 2<br>No.: (2<br>No.: (20 | RING LLP<br>rty Group<br>20044-4300<br>202) 624-2500<br>22) 628-8844 |  |                                | anderdick<br>No. 29,004                            |   |

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## IAP9 Rec'd PCT/PTO 26 MAY 2006

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| Substitute                        | e for form 1449A/PTO   |    | ANT First Named Inventor Guenter DOBLER Art Unit (To Be Assigned) |                        |                                    |
|-----------------------------------|------------------------|----|---|------------------------|------------------------------------|
|                                   |                        |    |   | Application Number     | (To Be Assigned) PCT/EP2004/012688 |
| INFORMATION DISCLOSURE            |                        |    | URE   | Filing Date            | May 26, 2006                       |
|                                   | STATEMENT BY APPLICANT |    | First Named Inventor  | Guenter DOBLER         |                                    |
|                                   |                        |    |   | Art Unit               | (To Be Assigned)                   |
| (use as many sheets as necessary) |                        |    |   | Examiner Name          | (To Be Assigned)                   |
| Sheet                             | 1                      | of | 2   | Attorney Docket Number | 095309.57715US                     |

|  |    |                                |  | U.S. PATENT   | DOCUMENTS     |  |
|--|----|--------------------------------|--|---|---------------|--|
| Examiner Cite <u>Document Number</u> Initials' No.¹ Number-KInd Code² (if known) |    | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |               |  |
| /S.K./   | AA | US- 5,301,227                  | A  | 04/05/1994  | KAMEI, ET AL. |  |
|  |    | US-                            |  |   |               |  |
|  |    | US-                            |  |   |               |  |
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|                       |              | FOREIG  | N PATENT DO                    | CUMENTS  | · · · · · · · · · · · · · · · · · · ·             |     |
|-----------------------|--------------|---|--------------------------------|--|---|-----|
|                       |              | Foreign Patent Document   |                                |  | Pages, Columns, Lines,<br>Where Relevant Passages |     |
| Examiner<br>Initials' | Cite<br>No.1 | Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | or Relevant Figures<br>Appear                     | T⁵  |
| /S.K./                | AB           | WO 02/25970 A1  | 03/28/2002                     | BROWN ET EL.                                       |   |     |
| /S.K./                | AC           | EP 0 921 667 A2   | 06/09/1999                     | PIONEER ELECTRONIC CORPORATION                     |   |     |
| /S.K./                | AD           | WO 03/001832 A1   | 01/03/2003                     | ROBERT BOSCH GMBH                                  |   | ABS |
| /S.K./                | AE           | DE 100 01 255 A1  | 07/19/2001                     | SIEMENS AG   |   |     |
|                       |              |   |                                |  |   |     |

|           | <br>           |  |
|-----------|----------------|--|
| Examiner  | Date           |  |
| Signature | <br>Considered |  |
|           |                |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# 10/580861 IAP9 Rec'd PCT/PTO 26 MAY 2005

PTO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031

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| Substitute for form 1449B/PTO |                                   | Complete if Known  |                                    |                        |                  |
|-------------------------------|-----------------------------------|--------------------|------------------------------------|------------------------|------------------|
|                               |                                   | Application Number | (To Be Assigned) PCT/EP2004/012688 |                        |                  |
| INFORMATION DISCLOSURE        |                                   |                    |                                    | Filing Date            | May 26, 2006     |
| STATEMENT BY APPLICANT        |                                   |                    |                                    | First Named Inventor   | Guenter DOBLER   |
|                               |                                   |                    |                                    | Art Unit               | (To Be Assigned) |
|                               | (use as many sheets as necessary) |                    |                                    | Examiner Name          | (To Be Assigned) |
| Sheet                         | 2                                 | of                 | 2                                  | Attorney Docket Number | 095309.57715US   |

|                       |                          | NON PATENT LITERATURE DOCUMENTS   |    |
|-----------------------|--------------------------|---|----|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| /S.K./                | AF                       | INTERNATIONAL SEARCH REPORT dated February 15, 2005 including English Translation of Relevant Portion (Ten (10) pages)  |    |
| /S.K./                | AG                       | GERMAN SEARCH REPORT dated August 31, 2004 including English Translation of Relevant Portion (Four (4) pages)   |    |
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| Examiner<br>Signature |                          | /Steven Kelley/ Date 06/19/2009   |    |

Signature Considered Ub/19/2009

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.